

AGENDA

AUDIT AND RISK COMMITTEE

A meeting of the Audit and Risk Committee will be held on Thursday 9 September 2021 at 3.30pm. Please join via Microsoft Teams.

No	Item	Action	Lead	Pages
1	Welcome, Apologies and Declarations of Interests	Note	SM	N/A
2	Minutes of the Previous Meeting: 3 June 2021	Approve	SM	2-5
3	Matters Arising / Actions Outstanding	Note	SM	6-6
4	External Audit:			
	4.1 Response to Letter from External Auditor	Approve	SD	7-17
5	Internal Audit:			
	5.1 Update on Internal Audit Actions: Exception Report	Note	IA	18-26
	5.2 Internal Audit Annual Report	Note	IA	27-42
	5.3 Estates Strategy and Project Management	Note	IA	43-55
6	Strategic Risk Register 2021-22	Approve	SD	56-82
7	Update on Business Continuity Planning	Note	MP	83-84
8	Annual Update Reports			
	8.1 Whistleblowing Policy and Procedure	Note	MP	85-86
	8.2 Fraud Prevention Policy and Response Plan: Register of Losses	Note	MP	87-88
9	Annual Report to Board of Governors	Approve	SM	89-95
10	Performance and Effectiveness Review: Feedback	Discuss	SM	96-98
11	Review of Meeting	Discuss	SM	N/A
12	Date of Next Meeting Thursday 18 November 2021 at 2.00pm	Note	SM	N/A